Volume 1 Chapter 6 Principles for Assistance California Water Plan Update January 2004

Chapter 6 Principles for Effective Regional Integrated Resource Planning and Providing State Assistance

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The State should encourage and provide technical, financial and administrative assistance to inclusive, collaborative and regionally- and watershed-based resource planning efforts. It should encourage and provide incentives to local and regional agencies and governments to develop diverse and balanced resource management portfolios and plans, implement multi-objective projects, and maximize their regional self sufficiency. The State recommends that regional planning efforts use the principles described in this section when preparing and implementing their integrated resource plans.

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Because the demand for State assistance exceeds resources and funding, the following nine principles can serve as criteria for competitively scoring proposals

from local water agencies and governments applying for State grants and loans:

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1. Has, or is developing, a long-term, integrated resource plan

The State should encourage and give preference for assistance and funding to regional planning initiatives that are based on regional or watershed integrated resource planning using a comprehensive stakeholder-based planning process. This integrated regional and/or watershed planning would: (1) promote multi-disciplinary planning with a

geographic focus, (2) emphasize
local/regional initiatives, and (3) recognize
unique regional considerations.

The State should give preference to initiatives emphasizing long-term planning

Principles for Regional Integrated Planning and Providing State Assistance

- 1. Has, or is developing, a long-term, integrated resource plan
- 2. Identifies benefits, beneficiaries & mitigations
- 3. Promotes sustainable resource management
- 4. Provides environmental benefits
- 5. Increases regional self sufficiency
- 6. Increases regional drought resiliency
- 7. Promotes environmental justice
- 8. Promotes communication, coordination, cooperation & collaboration among local agencies & governments
- 9. Uses sound science, best data and local knowledge

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32 (30-50 year planning horizon).

2. Identifies benefits, beneficiaries & mitigations

- The State should give preference to assisting and funding regional water
- initiatives that have evaluated their program and projects using criteria for economic growth, environmental quality, and social equity as co-equal
- 38 objectives. Based on this comprehensive assessment, the planning initiative
- 39 should determine potential economic, environmental, and social benefits,
- 40 adverse impacts and beneficiaries; acknowledge broad public trust interests; and
- 41 include a plan to avoid, minimize and mitigate for adverse impacts, including

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- third-party impacts, and minimizing disproportionate adverse impacts on
- 2 vulnerable communities.
- 3 The State should give preference for investing public funds to regional initiatives
- 4 with statewide significance, which include benefit-cost and least-cost analyses of
- 5 alternatives, have weighed environmental and socioeconomic costs in the
- 6 decision making process, and identify beneficiaries who will pay a share of the
- 7 cost.

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3. Promotes sustainable resource management

- The State should encourage, assist and share in funding regional initiatives that
- are working to improve ecological sustainability such as those promoted through
- watershed planning and management (from headwaters to basin outlet). The
- 13 State should give preference to initiatives that benefit multiple objectives (water,
- land, air, wastewater, energy, etc).
- 15 The State should also give preference to regional initiatives that include planning
- tools and specific response strategies for minimizing the potential adverse
- impacts of global climate change on their regional water supplies, water quality,
- water infrastructure, and flood protection.

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4. Provides environmental benefits

- 21 The State should give preference to regional and local initiatives that would
- 22 protect, restore or enhance the environment. This may include plans to reduce
- 23 pollution, improve stream flow, reduce groundwater overdraft, promote storm
- water reuse, and provide water for the environment.

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5. Increases regional self sufficiency

- 27 A desired outcome of integrated resource planning at the regional level is
- 28 becoming more self sufficient by minimizing the need to import water from
- another hydrologic region, as advanced by SB 672, sponsored by Senator
- 30 Machado. The State should encourage and give preference to programs and
- 31 projects that would minimize the need to import water from other hydrologic
- regions. An example would be for regions to reduce their reliance during drought
- conditions on water from the Sacramento-San Joaquin Delta.

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6. Increases regional drought resiliency

- The State should encourage and give preference for assistance and funding to
- 37 regional planning initiatives that would implement resource management
- 38 strategies that among other benefits would make the region more resilient and
- resistant to drought. In California, drought contingency planning is an important

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- component of the regional integrated resource plan. Strategies could include, but would not be limited to, recycled municipal water, conjunctive management,
- 3 storage, system reoperation, desalination, demand management, and water
- 4 conservation programs.

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7. Promotes environmental justice

- 7 All projects sponsored by or partnered with the State, and/or using public funds
- 8 must ensure environmental justice by providing fair treatment of people of all
- 9 races, cultures, and incomes with respect to the development, funding and
- implementation of resource management projects.

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8. Promotes communication, coordination, cooperation & collaboration among local agencies & governments

- 14 The State should give preference for providing assistance and funding to regional
- and local water planning initiatives that would promote and improve
- 16 communication, coordination, cooperation, and collaboration among local
- agencies and governments within a region involved in water, resource, and/or
- land use planning. These regional initiatives should include a public review
- 19 process with open and transparent decision-making, as well as education and
- 20 outreach for public, stakeholders, and decision-makers.
- 21 The State should assist regional efforts to foster new partnerships among
- 22 federal, State, and local government, tribal interests, local agencies, and other
- 23 public and private organizations to promote integrated water, land use, and
- resource planning and programs. The State should also assist regional initiatives
- to build partnerships among regions and among agricultural, urban, and
- 26 environmental water users.

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9. Uses sound science, best data and local knowledge

- 29 Regional water initiatives should take a cautionary approach to prevent
- 30 unintended consequences. This is achieved in part by using the best available
- data and information and using planning methods and analytical techniques that
- 32 have undergone scientific review.
- 33 The State should give preference for assistance and funding to regional water
- initiatives that include monitoring and data management for project evaluation
- and have provisions for adaptive management to improve project implementation
- 36 during the life of the project.
- 37 The State should also encourage pilot projects, focused research and
- incorporating knowledge and experience unique to each region.

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